

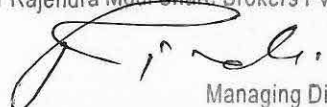



**Rajendra Modi Share
Brokers Private Limited**
Members of NSE, BSE, CDSL, MCX


Name of the Policy	Business Continuity Plan Policy (BCP)
Date of Passing Board Resolution	7 th April, 2026
Approved by:	Board of Directors
Frequency of Review:	Yearly or as and when any update comes change in the Relevant Regulation comes or any change in the Company's internal control or Structure whichever is earlier.




For Rajendra Modi Share Brokers Pvt. Ltd.



Managing Director

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Dated: 17.05.2022

BCP (Business Continuity Plan)

In order to fulfill our responsibility as part of the social infrastructure, Rajendra Modi Share Brokers Private Limited have been engaged in efforts to establish a system to Allow us to consistently and stably continue business operations in case of various actualized risks.

Specifically, the Rajendra Modi Share Brokers Private Limited has developed a BCP (Business Continuity Plan) that defines the basic policy, system, procedures, and other matters relevant to continuing business in case of emergency situations in order to respond to various risks including natural disasters, system failure, terrorist attacks, and flu epidemics. The Rajendra Modi Share Brokers Private Limited believes that it is important for the BCP to be made known to as many trading participants and other related institutions as possible, and has now decided to publicize the BCP to the extent where it does not undermine our security.

1. Basic Policy

The BCP aims to clarify the basic policy pertaining to company-wide business Continuity. The purpose is to minimize the impact on stakeholders, including trading participants, listed companies, and investors, by continuing business activities to the greatest extent possible when an emergency situation arises. Through business continuity, we also aim to enhance our brand image, which is a source of corporate value of the Rajendra Modi Share Brokers Private Limited, and improve confidence in the Securities Market. The basic policy on the continuation of trading and other operations of the BCP. Thus, the Rajendra Modi Share Brokers Private Limited has publicized a "Contingency Plan for each market with the recognition that it is imperative for the IBCP to be widely understood by parties who participate in these markets.

The Contingency plans specify the basic measures to be taken in cases where it is difficult to continue trading and other transactions in the NSE markets due to situations such as a systems failure at the Rajendra Modi Share Brokers Private Limited or a related external institution, natural disasters (such as earthquake, strong wind and floods) terrorist attacks or a breakdown of the social infrastructure.

For Rajendra Modi Share Brokers Pvt. Ltd.

Managing Director

2. Target of Resumption

For business continuity based on the BCP, our basic policy at the Rajendra Modi Share Brokers Private Limited is to continuously offer business operations to the maximum extent possible, and to aim for early resumption of operations in the event that the Rajendra Modi Share Brokers Private Limited is forced to suspend business operations. Moreover, even in the case of a risk event that has an enormous impact on business continuity, such as a large-scale earthquake, the Rajendra Modi Share Brokers Private Limited aims to resume necessary operations within approximately 24 hours following the occurrence of such risks, and to secure a state where trading and transactions can be resumed in the NSE markets and avoid a gap in trading days as far as possible. To achieve this goal, the Rajendra Modi Share Brokers Private Limited has been constructing a secondary centre (a back-up data centre) in a location that enables it to avoid being affected at the same time as the primary centre (the data centre where system operations are conducted in normal circumstances). Construction at the secondary centre, in particular of core systems, is almost complete and we will be steadily and sequentially implementing initiatives to enhance our back-up structures toward further strengthening our system for securing business continuity.

3. Scope of BCP

(A) Assumed Risks

The BCP covers responses for as wide a range of cases as possible by addressing assumed risks that may lead to suspension of operations with a combination of casual events and resultant events.

The casual events for which the BCP is prepared are natural disasters, including earthquakes, damage by wind or floods; system failure; breakdown of the social infrastructure such as electricity or telecommunication systems; terrorist attacks (actual destruction or cyber-terrorism); and flu epidemics. The BCP presumes events brought about by such causal events will result in the inability to use the building, inability to use systems, a lack of manpower, and the cessation or operations at external institutions among others.

(B) Scope of Application

The BCP applies to the officers and employees of the Rajendra Modi Share Brokers Private Limited (including representatives stationed overseas and secretaries employed overseas), temporary employees stationed in the facilities of the Rajendra Modi Share Brokers Private Limited, and external staff including persons engaged in system development and maintenance. The Rajendra Modi Share Brokers Private Limited believes that it is important that related external institutions Such as trading participants, many of which are closely involved in the continuity of our business, have a prior understanding of the Contingency Plans and related response

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Managing Director

measures, and continue to develop and improve communication systems even during normal times.

The BCP will be promptly applied to visitors, guests, and other persons in the Facilities of the Rajendra Modi Share Brokers Private Limited when an emergency situation occurs, clarifying in advance the department that will take care of these people.

4. **Response Policy for Each Resultant Event**

The BCP classifies the resultant events into the following three categories and sets forth a response policy for each category. Accordingly the BCP stipulates the system and procedures for continuing the bare minimum of business activity to the extent that the Rajendra Modi Share Brokers Private Limited is capable in the event that any presumed emergency situation arises. Moreover, with respect to work done by each relevant department to continue business operations, the Rajendra Modi Share Brokers Private Limited has prepared an instruction manual for each department, thereby establishing the system and structure for enabling smooth continuation of business during emergency situations.

(1). **Response based on the continued use of the primary centre**

This category of response refers to cases where the Rajendra Modi Share Brokers Private Limited and related external institutions have sustained damage due to a risk Event such as a large-scale earthquake, damage by wind or floods, or terrorist attack, but the primary centre is still available. In the cases where such an event occurs, some of the response measures the Rajendra Modi Share Brokers Private Limited will take include:

- (a) Obtaining a firm understanding of the extent of damage.
- (b) Considering and determining possible response.
- (c) Communicating with external entities.
- (d) Comprehending the extent of damage/recovery of related external institutions.
- (e) Procuring necessary resources.
- (f) Conducting back-up operations(performing activities such as trading and other transactions at back up offices)
- (g) Preparing for full-scale recovery

In the event that damage occurs, while the Rajendra Modi Share Brokers Private Limited will make efforts to continue conducting trading and other transactions to the maximum extent possible by way of performing operations at back-up offices, the Rajendra Modi Share Brokers Private Limited will suspend trading and other transactions in cases where the criteria for applying the Contingency Plan are met.

(2). **Response based on the switching of operations to the secondary centre:**

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This category of response refers to cases where the primary centre has sustained localized damage or where the Rajendra Modi Share Brokers Private Limited, the primary centre, and related external institutions are afflicted simultaneously due to an inland earthquake in the Delhi Metropolitan Area or surrounding area and the need to switch operations to the secondary centre arises. When the above event occurs, it is assumed that the Rajendra Modi Share Brokers Private Limited applies the Contingency Plan and suspends trading temporarily. By switching operations to the secondary centre, the Rajendra Modi Share Brokers Private Limited is able to resume trading and other transactions as quickly as possible. (We aim to resume trading and other transactions within 24 hours by switching operations to the secondary centre but will not resume such operations on the day of the event.)

(3). **System Failure**

System failures refer to cases where it has become impossible to use the Information systems of the Rajendra Modi Share Brokers Private Limited due to hardware failure, application failure, communication line or other failure. In the event of a system failure taking place, the Rajendra Modi Share Brokers Private Limited will set up a key centre around the relevant department to make an initial response, examine expected impact of the failure, and set up a system-related headquarters according to the extent of the failure. If the system failure is serious, the Rajendra Modi Share Brokers Private Limited will set up a BCP emergency headquarters to implement the same response made in the case of any event that a system failures occurs, while the Rajendra Modi Share Brokers Private Limited will make an effort to continue trading and other transactions to the maximum extent possible, the Rajendra Modi Share Brokers Private Limited will suspend trading and other operations in cases where the criteria for applying the Contingency Plan are met in terms of the severity of such system failure.

5. **Development of the System and procedures for response**

The Rajendra Modi Share Brokers Private Limited has developed the following and infrastructure in order to respond as stated in "4. Response policy for each resultant event":

(1). **Securing Manpower**

In order to secure necessary manpower in cases where an emergency situation arises during night-time hours or on a non-business day, the Rajendra Modi Share Brokers Private Limited has specified in advance the personnel, including the officers and employees of the Rajendra Modi Share Brokers Private Limited and to enable the secure manpower flexibly, the Rajendra Modi Share Brokers Private Limited has introduced a system for confirming the safety of personnel.

(2). **Securing means of Communication**

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In order to ensure that means of communication within the Rajendra Modi Share Brokers Private Limited and with those outside are available in the event of an emergency situation, the Rajendra Modi Share Brokers Private Limited has prepared various modes of communication such as telephones, priority telephones for times of disaster, mobile phones, e-mail, Target, notice via trading system of NSE. In addition, the Rajendra Modi Share Brokers Private Limited has exchanged lists of communication contacts with related external institutions.

(3). **Back-up offices**

The Rajendra Modi Share Brokers Private Limited has prepared back-up offices so that it can continue business operations at an area separate from the ordinary facilities in case they become unusable. At the same time, the Rajendra Modi Share Brokers Private Limited has designated personnel that will move to back-up offices in an emergency situation.

(4). **Data Centre**

The Rajendra Modi Share Brokers Private Limited has moved its core systems to a robust data centre which meets all the requirements. The same is located at 403-404, Green House, Ashok Marg, C-Scheme, Jaipur.

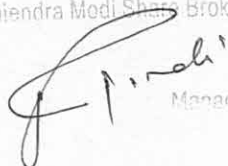
(5). **Tests and Training**

In addition to verifying whether the contents of the BQP are appropriate or not, the Rajendra Modi Share Brokers Private Limited has established a system for implementing BCP tests and training personnel including officers and employees at least once a year so that the Rajendra Modi Share Brokers Private Limited can smoothly enact response measures set forth in the BCP in the event of an emergency situation.

6. **Future Tasks**

While the response policy of the Rajendra Modi Share Brokers Limited in the event of emergency situations and the outline of the system and procedures pertaining to such are mentioned above, the BCP needs to be continuously reviewed in response to the emergence of new risks and changes in circumstances. In order to further enhance the system and procedures to secure business continuity, the Rajendra Modi Share Brokers Private Limited will regularly review the BCP at least once a year, and will also review it on a timely basis in accordance with changes in circumstances. Moreover, in order to strengthen the business continuity system of the securities markets a whole, it is important for the Rajendra Modi Share Brokers Private Limited to improve the system and procedures in collaboration with market related parties such as trading participants.

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Managing Director

In order to examine a BCP for the Securities Market as a whole and to take measures on an as-needed basis, a forum to examine d BCP for the securities market as a whole (hereinafter "BCP Forum") was set up. It consists of concerned parties from self-regulatory organizations (the securities dealers associations and exchanges), securities companies and administrative authorities and is currently discussing the development of a system and procedures for communications in case of emergency and implementation of joint drills.


The Rajendra Modi Share Brokers Private Limited strives not only to further enhance its business continuity system, but also to strengthen cooperation with market related parties through discussion at the BCP forum and at the same time work proactively on enhancement of the BCP functions for the securities market as a whole.

Review

The Broker shall review the policy once in a year.

For Rajendra Modi Share Brokers Private Limited

For Rajendra Modi Share Brokers Pvt. Ltd.


Managing Director
(Rajendra Modi)
Managing Director
DIN No. 01155780